IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3/ /A

Application No.:

Not yet assigned

Filed:

Herewith

Applicant:

Douglas R. Duquette

PCT/US03/18436

Technology Center:

Corresponding International

Application No.:

Attorney Docket No.:

NRO-1102-0001

Examiner:

Title of the Invention:

System and Method for Analyzing and Displaying Security Trade Transactions

Hon. Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to examination, and to the calculation of any additional-claim fees due, please amend the above-identified application as follows: